

Case Docket No. MANNK.032A

Date: April 13, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Simard, et al.

Appl. No.

10/657,022

Filed

September 5, 2003

For

**EPITOPE SEQUENCES** 

Examiner

Unknown

Group Art Unit:

1645

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 13, 2004

Date

Marc T. Morley, Reg. No. 52,051

# TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

:

### Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 listing three references, two of which are enclosed. Two of the documents were cited in the International Search Report of corresponding PCT Application No. PCT/US03/27706 (Date of mailing of ISR: March 31, 2004).
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

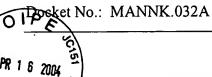
Marc T. Morley

Registration No. 52,051

Attorney of Record

Customer No. 20,995

(619) 235-8550



# INFORMATION DISCLOSURE STATEMENT

Applicant

Simard, et al.

App. No.

: 10/657,022

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For

**EPITOPE SEQUENCES** 

Examiner

Unknown

Group Art Unit

1645

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

Enclosed is form PTO-1449 listing three (3) references. A copy of the disclosed U.S. patent is not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. A copy of the international published application, as listed, is enclosed, as is a courtesy copy of the International Search Report which cites the disclosed documents.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the following should be noted.

A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

## CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days days prior to the filing of this Information Disclosure Statement.

Appl. No.

10/657,022

Filed

September 5, 2003

Docket No. MANNK.032A Customer No. 20,995

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/13/04

By:\_\_\_/\_

Marc T. Morley

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FORM PTO 3A49

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. MANNK.032A

APPLICATION NO. 10/657,022

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

PRADEMARY

(USE SEVERAL SHEETS IF NECESSARY)

Simard, et al.

**APPLICANT** 

FILING DATE September 5, 2003 GROUP 1645

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	6,037,135	03/2000	Kubo, et al.			
				1			

FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL							YES	NO
	2	WO 94/020127	09/1994	W.I.P.O. (PCT)				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
		International Search Report re International Appln. No. PCT/US03/27706; International Filing Date: 09/05/03.  Applicant: MannKind Corporation; Date of Mailing of ISR: March 31, 2004.				
		<u>-</u>				

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**EXAMINER** 

DATE CONSIDERED